Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2019

	Particulars	Yes	N
1	Whether the Listed Equity has issued any Partly Paid up shares?		No
2	Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4	Whether the Listed Equity has any shares in Locked-in?		No
5	Whether any shares held by Promoters are Pledge or otherwise encumbered?		N

## TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Cate Name of shareholders gory  	Sharehol		Paid-up	No.of Shares   Underlying   Depository	Shares	Shareholding  Calculated as  per SCRB,1957		ting Rights (IX			Underlying	s Shareholding as  % assuming full  conversion of			therwise	Number of  Equity Shares  Held in demat
		shares Held	shares Held	Receipt		As a % of  (A+B+C2)	No. of	Voting Righ	ts	1		convertible sec  urities (as a %	  Number  Asa%		As a %	
	İ	İ	į	İ	  (VII)=	1	Class X	Class Y		Total as a %  of total voting	(Including	of diluted  share capital)	of total		of total	1
(I) (II)	(III)	(IV)	(V)	(VI)	(IV)+(V)+(VI)	(AIII)	į	į		Rights	(X)	(XI) = (VII) + (X)				(XIV)
	-		1	ł		 	1			1	1	as a % of  (A)+(B)+(C)			ŀ	! !
(A) Promoter & Promoter Group (B) Public (C) Non Promoter - Non Public (C1) Shares underlying DRs (C2) Shares held by Employee Trusts	15 3325				2216000 2784200	44.318 55.682			2216000 2784200			44.318 55.682				1452300 762200
TOTAL	3340	5000200	)		5000200	100.000	5000200		5000200	100.000	)	100.000				2214500

Cate  gory  	Name of shareholders	İ	Sharehol		No.of Partly  Paid-up  Equity	y No.of Shares  Underlying  Depository	Shares	Shareholding  Calculated as  per SCRB,1957			s held in each	class of securies	Underlying  outstanding	s Shareholding as   % assuming full   conversion of	in sha (XII	ares [)	edged or  encumber	otherwise ed (XIII)	Equity Share  Held in dema
- 1		1	1	shares Held	shares Held	Receipt	1	As a % of  (A+B+C2)	No. of	f Voting Rig	ghts	1		convertible sec   urities (as a %		As a %		As a %	
i		i	i	i	i	i	i		Class X	Class Y	Total		(Including	of diluted		of total		of tota	
- !	(I)	  (II)	(III)	(IV)	   (V)	(VI)	(VII) =   (IV) + (V) + (VI)	I (VIII)	 	!		of total voting  Rights	Warrants)   (X)	share capital)    (XI)=(VII)+(X)		shares  held	1	shares  held	(XIV)
i	(2)	1	(111)	1	1	1 (1-2)		(1111)	i	i	i	I	1 (11)	as a % of		incra	i	1	1
i		i	i	i	i	i	i	i	i	i	i	i	i	(A) + (B) + (C)			i	i	i
(1)	Indian																		
	Individuals/Hindu undivided E	,	13	2148000	)		2148000	42.958	2148000	0	2148000	42.958	1	42.958					1452300
	amily ANIL NAHATA			3000	1		30000	0.600	30000	n	30000	0.600	1	0.600					
	AVINASH NAHATA			30000			30000		30000		30000			0.600					
	BALCHAND SINGHI	ASJPS2127B		216500	)		216500	4.330	216500	0	216500	4.330	)	4.330					
	HATHI MAL NAHATA			80100	)		80100	1.602	80100	0	80100	1.602	!	1.602					
	KAMAL SINGHI	AMIPS2603P		50100			50100		50100		50100			1.002					5010
	MADHU SINGHI	ALSPS5065L		5000			50000		50000		50000			1.000					5000
	PRAKASH CHAND NAHATA	ABMPN8448E		80000 693400			80000 693400		80000 693400		80000 693400			1.600 13.867					62830
	RAJ KUMAR NAHATA SARIKA NAHATA	ABQPN3099M		3000			30000		3000		30000			0.600					3000
	SARIKA NAHATA SAROJ DEVI NAHATA	ABQPN3099M		30000			30000		30000		30000			0.600					3000
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900		693900		693900			13.877					69390
	SUMAN NAHATA			50000			50000		50000		50000			1.000					
	SUMAN SINGHI			114000	)		114000	2.280	114000	0	114000	2.280	1	2.280					
b)	Central Government/State Gove	•																	
	rnment(s)																		
	Financial Institutions/Banks																		
	Any Other Bodies Corporate		2	68000	,		68000	1.360	68000	•	68000	1.360		1.360					
	RACHYITA INVESTMENT PVT. LTD.		-	48000			48000		48000		48000			0.960					
	SAMRAT MERCANTILE PVT. LTD.			20000			20000		20000		20000			0.400					
	Trusts																		
	Clearing Members																		
	Sub-Total (A) (1)		15	2216000	)		2216000	44.318	2216000	0	2216000	44.318	1	44.318					1452300
(2)	Foreign																		
	Individuals (Non-Resident Ind	ı																	
	ividuals/Foreign Individuals)																		
(b)	Government																		
	Institutions																		
	Foreign Portfolio Investor																		
	Any Other OCBs																		
	Sub-Total (A) (2)																		
	Total Shareholding of Promote		15				2216000				2216000			44.318					1452300
	r and Promoter Group (A) = (A) (1) + (A) (2)		15	, 2216000	,		2216000	44.310	2216000	,	2216000	44.310	•	44.310					1452300

## TABLE III - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE PUBLIC SHAREHOLDER

- 1	Name of shareholders     		Sharehol	Paid-up	Paid-up	No.of Shares  Underlying  Depository	Shares	Shareholding  Calculated as  per SCRB,1957		ting Rights h			Underlying	Shareholding as  % assuming full  conversion of	in shares	edged o	of Shares Pl or otherwise ered (XIII)	Equity Share
į	į				shares Held		İ	As a % of  (A+B+C2)	No. of	Voting Right	s !		convertible		i			erialized
	(I)   	(II)	  -   (III) 	 	 		   (VII) =   (IV) + (V) + (VI) 	i I	Class X	Class Y     	i i		(Including  Warrants)   (X)			al	of total	İ
a) 1 ( b) 1 c) 1	Institutions Mutual Funds CRB TRUSTEE LTD A/C CRB MUTUA L FUND Venture Capital Funds Alternate Investment Funds Foreign Venture Capital Inves		2	83500 73400			83500 73400				83500 73400	1.670 1.468		1.670 1.468				
e) 1 f) 1 g) 1 h) 1	tors Poreign Portfolio Investors Pinancial Institutions/Banks Insurance Companies Provident Funds/Pension Funds Any Other Poreign Institutional Investo																	
(	rs QFI - Corporate																	
	Sub-Total (B) (1)		2				83500	1.670			83500	1.670		1.670				
	Sub-Total (B) (2)																	
a) :	Non-Institutions Individuals i) Individual shareholders h olding nominal share capital		3263	1489300			1489300	29.785	1489300	•	1489300	29.785		29.785				389400
:	up to Rs.2 Lakhs. ii) Individual shareholders holding nominal share capital		4	363900			363900	7.278	363900	ı	363900	7.278		7.278				30000
b) 1 c) 1 d) (	Li) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. PUSHRAJ SINGHI RAKHEE SHYAMSUKHA HERDES registered with RBI imployee Trusts Dwerseas Depositors (holding DRS) (balancing figure)	AMIPS2602N	4	363900 58900 250000			363900 58900 250000	1.178	58900	)	363900 58900 250000	7.278 1.178 5.000		7.278 1.178 5.000				30000
(b) 1 (c) 1 (d) (d) (e) 1	Li) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. PUSHRAJ SINGHI RAKHEE SHYAMSUKHA WBFCs registered with RBI Employee Trusts Dwerseas Depositors (holding ORs) (halancing figure) Any Other Bodies Corporate BOB SHARE & STOCK BROKING SER	AMIPS2602N	52	58900 250000			58900	1.178 5.000 16.935	58900 250000 846800	)	58900	1.178		1.178				30000 342100
(b) 11(c) 14(d) (c) 14(d) (d) (d) (d) (d) (d) (d) (d) (d) (d)	Li) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. PUSHRAJ SINGHI RAKKEE SHYAMSUKHA BUSHRAJ SINGHI RAKKEE SHYAMSUKHA BUSHRAJ SHOWERS PROSISTOR (holding NS) (halanching figure) Any Other Bodies Corporate 3DB SHARE & STOCK BROKING SER VICES LTD. 3DB SHARE AND STOCK BROKING SER SERVICES LTD.	AAACG9733B		58900 250000 846800 133000			58900 250000 846800 133000 67000	1.178 5.000 16.935 2.660	58900 250000 6 846800 133000 67000		58900 250000 846800 133000 67000	1.178 5.000 16.935 2.660 1.340		1.178 5.000 16.935 2.660 1.340				3 <b>42100</b> 67000
(b) 1 (c) 1 (e) 1	Li) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. PUSHRAJ SINGHI PARKHER SHYAMSUKHA BERCS registered with RBI Employee Trusts Diverseas Depositors (holding ORS) (balancing figure) hay Other Sodies Corporate IDB SHARE & STOCK BROKING SER ICES LID. IDB SHARE AND STOCK BROKING SER SERVICES LID. IDB SHARE AND STOCK BROKING SERVICES LID. IDB SHARE AND STOCK BROKING SERVICES LID. IDB SHARE AND STOCK BROKING SERVICES LID. IDB SHARE AND STOCK BROKING SERVICES LIM	AAACG9733B		58900 250000 846800 133000 67000 80500			58900 250000 846800 133000 67000	1.178 5.000 16.935 2.660 1.340	58900 250000 6 846800 133000 67000 80500		58900 250000 846800 133000 67000 80500	1.178 5.000 16.935 2.660 1.340		1.178 5.000 16.935 2.660 1.340				342100
(b) 11(c) 14	Li) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. PUSHRAJ SINGHI RAKHER SHYAMSUKHA WEFCS registered with RBI Employee Trusts Dverseas Depositors (holding ORS) (halancing figure) Any Other Bodies Corporate Bodies Corporate BOS SHARE & STOCK BROKING SER VICES LID. JOB SHARE & STOCK BROKING S ERVICES LIM	aaacg9733B aabcн9345k		58900 250000 846800 133000			58900 250000 846800 133000 67000	1.178 5.000 16.935 2.660 1.340 1.610	58900 250000 6 846800 0 133000 6 67000 80500		58900 250000 846800 133000 67000	1.178 5.000 16.935 2.660 1.340		1.178 5.000 16.935 2.660 1.340				3 <b>42100</b> 67000

TABLE III - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE PUBLIC SHAREHOLDER

ate Name of shareholders ory  	PAN   		Paid-up   Equity	Equity	Underlying  Depository	Shares	Calculated as  per SCRB,1957	İ	(:	IX)	n class of securies	Underlying  outstanding	% assuming full  conversion of	in shares (XII)	encumbere	otherwise d (XIII)	Equity Share:
           	       (II)	 	shares Held          (IV)	shares Held           (V)	Receipt	     (VII) =   (IV) + (V) + (VI)	As a % of  (A+B+C2)       (VIII)	No.	of Voting Ric	yhts  Total       		securities  (Including  Warrants)	convertible sec  urities (as a %  of diluted  share capital)  (XI)=(VII)+(X)  as a % of  (A)+(B)+(C)	Number	Number	As a %  of total  shares	form
WELLWORTH MULTYFIN (P) LTD QFI - Individual NRI - Repatriable NRI - Non-Repatriable OCBS Trusts Clearing Memebers IEFF Authority			9840		·	9840(			00	9840			1.968	<u></u>		<u></u>	700
Sub-Total (B) (3)		3323	270070	0 		270070	54.01	2 27007	00	270070	00 54.012	:	54.012				762200
Total Public Shareholding = (B) (1)+(B) (2)+(B) (3)	(B)	3325	5 278420	0		278420	55.68	2 27842	200	278420	55.682		55.682				762200

TABLE IV - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Cate Name of shareholders	PAN	Nos. of	No.of Fully	No.of Partl	y No.of Share	s Total Nos.	Shareholding	Number of	Oting Rights	held in each	class of securies	No.of Shares	Shareholding as	Number of L	ocked	Number of S	hares Pl	Number of
gory	1	Shareho	1 Paid-up	Paid-up	Underlying	Shares	Calculated as	1	(IX	)		Underlying	% assuming full	in shar	es I	edged or ot	herwise	Equity Shares
1	1	ders	Equity	Equity	Depository	Held	per SCRB,1957	I				outstanding	conversion of	(XII)		encumbered	(XIII)	Held in demat
1	1	1	shares Held	shares Held	Receipt	1	As a % of	No.	of Voting Righ	ts			convertible sec					erialized
1	1	1	1	1	1	1	(A+B+C2)	I			_1	securities	urities (as a %	Number	Asa %	Number	As a %	form
1	1	1	1	1	1	1	1	Class X	Class Y	Total	Total as a %	(Including	of diluted	1 1	of total		of total	
1	1	1	1	1	1	(VII) =	1	I	1	1	of total voting	(Warrants)	share capital)	1 1	shares		shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(IV) + (V) + (VI)	(VIII)	I	1	1	Rights	(X)	(XI) = (VII) + (X)	1 1	held		held	(XIV)
1	1	1	1	1	1	1	1	I	1	1	1	1	as a % of	I	- 1			
ſ	1	1	1	1	1	1	I .	1	1	1	1	1	I (A) + (B) + (C)	I	- 1	1		

(1) Custodian.DR Holder
(2) Employee Benefit Trust (under SEBI (share based Employee B enefit) Regulations, 2014

Total Non-Promoter - Non-Publ ic Shareholding (C)=(C) (1)+(C)+(2)

5 I	Details of the SBO	1	Details of the Registered Owner	Details of holding/exercise of right of the SBO in the
0.T		1		in the reporting company, whether direct or indirect
1	( I )	1	( II )	(III)
-		-		Whether by virtue of
Name	PAN / Passport  Nat	tionality Name	PAN / Passport  Nation	nality Shares Voting Rights on distributable Exercise Exercise of Date of creation/acquisition
Name	PAN / Passport  Nat  No. in case of	tionality Name	PAN / Passport  Nation  No. in case of	nality Shares Voting Rights on distributable Exercise Exercise of Date of creation/acquisition   (%)  Rights dividend or any other  of  significant significant beneficial inter
Name   		tionality Name   		
Name   	No. in case of	tionality Name     	No. in case of	(%)  Rights dividend or any other  of  significant significant beneficial inter