

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31(1) (b) Quarter Ended: 30/09/2019

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)					
								No. of Voting Rights			Total as a % of total voting Rights						convertible securities (Including Warrants)	Number	As a % of total shares held	Number	As a % of total shares held
								Class X	Class Y	Total											
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)														
(A)	Promoter & Promoter Group	15	2216000			2216000	44.318	2216000		2216000	44.318					1452300					
(B)	Public	3325	2784200			2784200	55.682	2784200		2784200	55.682					762200					
(C)	Non Promoter - Non Public																				
(C1)	Shares underlying DRs																				
(C2)	Shares held by Employee Trusts																				
TOTAL		3340	5000200			5000200	100.000	5000200		5000200	100.000					2214500					

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares Held	Shareholding (Calculated as per SCRB, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of securities (including Warrants) (X)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
										No. of Voting Rights			Total as a % of total voting Rights					
										Class X	Class Y	Total						
										(VII) = (IV)+(V)+(VI)	(VIII)	(VII)						
(1) Indian																		
(a) Individuals/Hindu undivided Family			13	2148000			2148000	42.958	2148000	2148000	42.958	42.958				1452300		
	ANIL NAHATA			30000			30000	0.600	30000	30000	0.600	0.600						
	AVINASH NAHATA			30000			30000	0.600	30000	30000	0.600	0.600						
	BALCHAND SINGHI	ASJFS2127B		216500			216500	4.330	216500	216500	4.330	4.330						
	BATHI MAL NAHATA			80100			80100	1.602	80100	80100	1.602	1.602						
	KAMAL SINGHI	AMIPS2603P		50100			50100	1.002	50100	50100	1.002	1.002				50100		
	MADHU SINGHI	ALSPS5065L		50000			50000	1.000	50000	50000	1.000	1.000				50000		
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000	80000	1.600	1.600						
	RAJ KUMAR NAHATA	ABMPN8448E		693400			693400	13.867	693400	693400	13.867	13.867				628300		
	SARIKA NAHATA	ABQPN3099M		30000			30000	0.600	30000	30000	0.600	0.600				30000		
	SAROJ DEVI NAHATA			30000			30000	0.600	30000	30000	0.600	0.600						
	SHEESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900	693900	13.877	13.877				693900		
	SUMAN NAHATA			50000			50000	1.000	50000	50000	1.000	1.000						
	SUMAN SINGHI			114000			114000	2.280	114000	114000	2.280	2.280						
(b) Central Government/State Government(s)																		
(c) Financial Institutions/Banks																		
(d) Any Other																		
	Bodies Corporate		2	68000			68000	1.360	68000	68000	1.360	1.360						
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000	48000	0.960	0.960						
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000	20000	0.400	0.400						
	Trusts																	
	Clearing Members																	
	Sub-Total (A) (1)		15	2216000			2216000	44.318	2216000	2216000	44.318	44.318				1452300		
(2) Foreign																		
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b) Government																		
(c) Institutions																		
(d) Foreign Portfolio Investor																		
(e) Any Other																		
	OCBs																	
	Sub-Total (A) (2)																	
	Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)		15	2216000			2216000	44.318	2216000	2216000	44.318	44.318				1452300		

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Paid-up shares	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares	Shareholding (Calculated as per SCRB, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of securities (including Warrants) (X)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
											Class X	Class Y	Total	Total as a % of total voting Rights					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)	(IX)	(X)	(XI) = (VII) + (X)	(XII)	(XIII)	(XIV)						
(1) Institutions																			
(a)	Mutual Funds		2	83500				83500	1.670	83500	83500	1.670	1.670						
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND			73400				73400	1.468	73400	73400	1.468	1.468						
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/Pension Funds																		
(i)	Any Other Foreign Institutional Investors																		
	QFI - Corporate																		
	Sub-Total (B) (1)		2	83500				83500	1.670	83500	83500	1.670	1.670						
(2) Central Government/State Government(s)/President of India																			
	Sub-Total (B) (2)																		
(3) Non-Institutions																			
(a)	Individuals																		
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3263	1489300				1489300	29.785	1489300	1489300	29.785	29.785					389400	
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		4	363900				363900	7.278	363900	363900	7.278	7.278					30000	
	FUSHAJ SINGHI	AMIPS2602N		58900				58900	1.178	58900	58900	1.178	1.178						
	RAKHEE SHYAMSUKHA			250000				250000	5.000	250000	250000	5.000	5.000						
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositors (holding DRs) (balancing figure)																		
(e)	Any Other Bodies Corporate		52	846800				846800	16.935	846800	846800	16.935	16.935					342100	
	GDB SHARE & STOCK BROKING SERVICES LTD.			133000				133000	2.660	133000	133000	2.660	2.660						
	GDB SHARE AND STOCK BROKING SERVICES LTD.			67000				67000	1.340	67000	67000	1.340	1.340					67000	
	HUNGERFORD CONSULTANTS PRIVATE LIMITED			80500				80500	1.610	80500	80500	1.610	1.610					80500	
	M H FINANCE AND MERCANTILE PRIVATE LTD			83400				83400	1.668	83400	83400	1.668	1.668						
	PAGARIA HOLDING PRIVATE LIMITED			67500				67500	1.350	67500	67500	1.350	1.350					67500	
	VICCO PRODUCTS BOMBAY PRIVATE LIMITED			65600				65600	1.312	65600	65600	1.312	1.312					65600	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (including Warrants)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of total voting Rights					
									Class X	Class Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)										
WELLNORTH MULTYFIN (P) LTD				98400			98400	1.968	98400		98400	1.968					
QFI - Individual																	
NRI - Repatriable																	
NRI - Non-Repatriable																	
OCBs																	
Trusts																	
Clearing Members			4	700		700	0.014	700	700	0.014		0.014				700	
IEPF Authority																	
Sub-Total (B) (3)			3323	2700700		2700700	54.012	2700700	2700700	54.012		54.012				762200	
Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3)			3325	2784200		2784200	55.682	2784200	2784200	55.682		55.682				762200	

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)					
									No. of Voting Rights			Total as a % of total voting Rights						(Including Warrants)	Number	As a % of total shares held	Number	As a % of total shares held
									Class X	Class Y	Total											
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)															

- (1) Custodian, DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014)

Total Non-Promoter - Non-Public Shareholding (C)=(C) (1)+(C) (2)

